

# A Novel Asynchronous ADC Architecture

George Robert Harris III and Taskin Kocak

*School of Electrical Engineering and Computer Science  
University of Central Florida  
Orlando, FL 32816-2450  
tkocak@cpe.ucf.edu*

## Abstract

*In this paper, a novel architecture for asynchronous analog-digital conversion is presented, designed using the NULL Convention Logic (NCL) paradigm. This analog-to-digital converter (ADC) employs successive approximation and a one-hot encoded masking technique to digitize analog signals. The architecture scales readily to any given resolution by utilizing the one-hot encoded scheme to permit identical logical components for each bit of resolution. The 4-bit configuration of the proposed design has been implemented and verified by simulation in 0.18 $\mu$ m CMOS technology. The asynchronous ADC requires only one delay insertion to guarantee correct operation, unlike many other asynchronous designs. Furthermore, the ADC may be interfaced with either synchronous or four-phase asynchronous digital systems.*

## 1. Introduction

The need for high performance, low power, and low electromagnetic interference (EMI) analog-digital converters (ADCs) have led researchers to consider asynchronous approaches as alternatives to conventional clocked designs. A motivating factor has been that as clock frequencies increase, so do complications regarding the clocks effects on EMI, power dissipation, and average-case performance. In addition, clock transitions facilitate the simultaneous occurrence of multiple switching events. This results in maximum taxation of the supply rails at nearly identical time intervals creating a power-rail grouping effect. Unfortunately, this may corrupt sensitive analog input signals as they are being sampled, and consequently lead to inaccurate conversions. While benefits of asynchronous design have been demonstrated in digital logic circuits, we investigate here novel means by which these advantages can be carried over into the mixed-signal domain.

The state of asynchronous ADC design is still in its infancy, with relatively few designs being formally presented [1-4]. To-date, the existing designs have demonstrated comparable or faster average conversion

times when evaluated against synchronous converters. They have also demonstrated various means of achieving metastability-free conversion under low power, low noise constraints.

In spite of the potential advantages of asynchronous conversion approaches, a fundamental question arises regarding the temporally indeterministic nature of asynchronous converters for real-time applications that require conversions within a fixed time interval. However, it is possible to guarantee an asynchronous converter can complete operations within a time bound, but these circuits reintroduce the need for stringent timing analysis similar to that found in clocked systems. Unbounded delay converters such as the ones presented in this paper can deliver predictable maximum and average conversion rates, but guarantee not a minimum rate. Nonetheless, the minimum achieved rates for synchronous converters remain influenced by physical operating conditions in a similar manner.

In the following sections, an overview of NULL Convention Logic (NCL) is first presented, as it is used to realize the digital logic functions in the ADC. Next, the proposed architecture of the self-timed successive approximation (SA) ADC is described, independent of resolution, with discussions on both the digital and analog functions. The 4-bit configuration of the ADC architecture is then simulated in SPICE using Cadence design tools and a 0.18 $\mu$ m CMOS technology library. The simulation results and their implications are subsequently discussed.

## 2. NULL Convention Logic (NCL)

NULL Convention Logic (NCL) is a self-timed logic paradigm developed by Theseus Logic Inc., whereby control is inherent in each datum [5]. NCL is unlike conventional Boolean logic, where the control variable, time, is external to the logic expression and must be carefully exercised in order to maintain optimal and yet safe operating circuitry. NCL conforms to an unbounded delay model, assuming wires that fork are isochronic, based on a 1-of-m encoding scheme, the use of state-holding gates, and completion detection on the output of

processing stages allowing for a handshaking protocol to control input wavefronts.

The typical 1-of-m encoding chosen in most designs is a dual-rail realization; whereby two wires encode three logic states (NULL, DATA1, and DATA0) to represent the value of a single bit. Figure 1 depicts the dual-rail state assignments in NCL. DATA0 corresponds to a Boolean logic 0 value, DATA1 corresponds to a Boolean logic 1 value, and the NULL state denotes an indeterminate value that acts as a spacer between successive DATA wavefronts. In effect, the propagation of a NULL wavefront clears the state-holding capability of intermediary gates, while simultaneously indicating that the output is not yet available. The wires of a NCL 1-of-m encoding scheme are mutually exclusive, so only one rail is ever asserted at any time.

	DATA0	DATA1	NULL	Undefined
Rail 0	1	0	0	1
Rail 1	0	1	0	1

Figure 1: Dual-rail NCL state encoding

NCL state-holding gates, termed threshold gates, can be viewed as an extension of the Muller C-element. The primary type of NCL threshold gate is the TH<sub>m</sub>n gate, where  $1 = m = n$  as illustrated in Figure 2. TH<sub>m</sub>n gates have  $n$  inputs, and at least  $m$  of the  $n$  inputs must be asserted before the output signal will assert. The gates are designed with hysteresis, so all asserted input signals must be de-asserted before the output signal is de-asserted. Hysteresis ensures a transition back to the NULL state before the next DATA state.

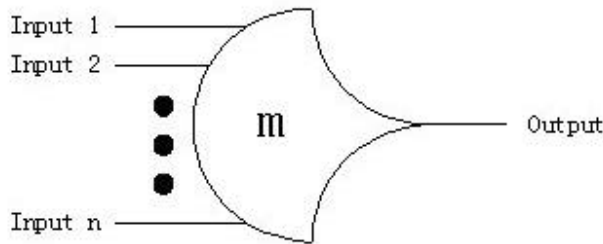


Figure 2. TH<sub>m</sub>n threshold gate

### 3. ADC architecture

The basic principles of the asynchronous successive approximation ADC architecture that we propose in this paper are similar to those used in conventional synchronous designs, and can be organized into four functional components: a sample and hold mechanism to capture and maintain various values of the analog signal, a digital logic section that generates the approximations, a digital-analog converter (DAC) to generate an analog signal based on a digital word, and finally an analog comparator used to compare the output of the DAC against the captured analog input. The successive approximation algorithm initiates conversion by asserting only the most significant bit (MSB) of the data path. This initial value represents the midpoint of the allowable analog range. Comparison between the initial value and the outside analog signal determines if the MSB should remain asserted. If the analog signal is less than the initial guess, the MSB is de-asserted. Conversion continues by asserting the next MSB and performing again another comparison. The process iterates until the states of all bits have been determined. The number of conversion cycles is directly proportional to the number of bits of resolution.

We call this new ADC architecture, masked asynchronous successive approximation (MASA) ADC due to the inclusion of a novel one-hot masking function used in the combinational logic component. The architecture block diagram is shown Figure 3 and is independent of resolution. The four basic functional components are evident, with the sample-and-hold, DAC, and comparator circuits on the left-hand side, whereas the digital logic section is located on the right.

The digital circuitry is comprised of three NCL registers, combinational logic, and a NCL modulator. The three NCL registers are required to maintain and propagate the DATA/NULL cycle [5]. With the accompanying handshaking protocol, registers determine when to pass or block incoming signals. Each register provides acknowledgements to the next upstream register in terms of request for DATA (rfD) or request for NULL (rfN). The lines labeled  $k_i$  and  $k_o$  serve as handshaking signals to a register input ( $k_i$ ) or output ( $k_o$ ). Completion detection on the output of each register senses whether a complete set of DATA or NULL values is currently available, at which time a request for the opposite control type is sent out. The handshaking signal is inserted into each of the threshold gates of the upstream register, only allowing DATA/NULL to pass when the acknowledgement signal and data content correspond.

Combinational logic circuitry is responsible for executing the successive approximation algorithm. The function of the logic for any given iteration  $n$ , excluding the last iteration, is to determine if the asserted bit  $n$  should remain asserted or be set to logic '0', i.e. DATA0.



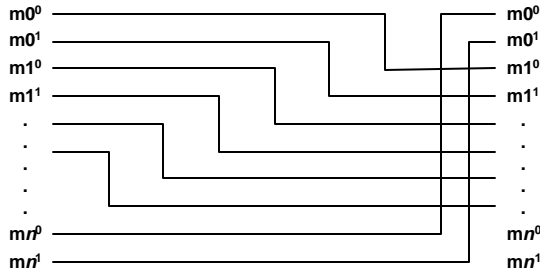


Figure 4: Shifting the mask bits

The OR function shown in figure 5 is the Boolean equivalent of an OR gate, although the OR function must incorporate the dual-rail nature of NCL and thus requires two threshold gates. The OR function is said to be complete with respect to its inputs, implying that the output will never assert until both input bits have arrived, assuring a more delay insensitive design. For every bit in the data path there is a corresponding OR function. The OR function uses the one-hot mask to set the next bit under refinement in the data path. Each OR function accepts one mask bit as its input along with its corresponding data bit, and provides a new data signal as output. All previously determined bits maintain their values as they pass through their respective OR functions.

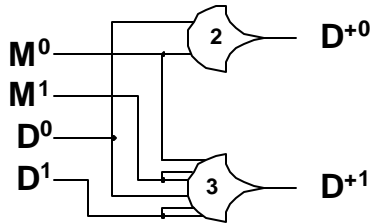


Figure 5: The OR function

The conditional mask is the core of the combinational logic circuitry, as it determines whether the bit pointed-to by the mask should remain set or be de-asserted. Like the OR function, conditional mask logic is exclusive to one data path bit, and is replicated for all bits in the data path. Figure 6 illustrates the functionality of the conditional mask logic by means of a Karnaugh map, and equations 1 and 2 provide the output expressions. Since the expressions are derived in NCL, covering all ones in the Karnaugh map results in an expression for rail 1 of the output variable. Likewise, covering all zeros in the Karnaugh map leads to an expression for rail 0 of the output variable. Variable D represents the data path bit, M represents the corresponding mask bit, Vc specifies the voltage comparison from the comparator sent via the NCL modulator, and R designates the LSB of the mask set (EOC), which reinitializes the logic so the next approximation can occur.

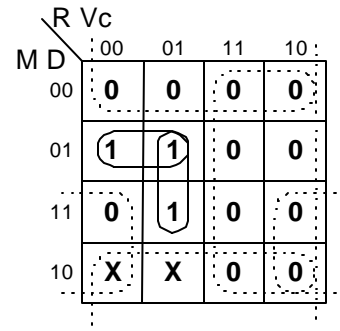


Figure 6: Karnaugh map for Conditional Mask

$$D^{+1} = M^0 D^1 R^0 + D^1 R^0 Vc^1 \quad (\text{eq. 1})$$

$$D^{+0} = D^0 + R^1 + M^1 Vc^0 \quad (\text{eq. 2})$$

NCL allows simplification of expression through the mapping to weighted NCL gates [6]. Thus only one threshold gate is required per equation, resulting in only two threshold gates per bit. Figure 7 depicts the two weighted gates utilized in the conditional mask design.

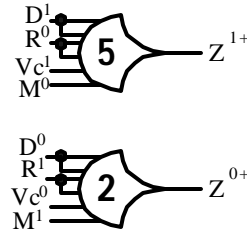


Figure 7: Gate logic for 1 bit Conditional Mask

The NCL modulator performs three primary functions: it converts the comparator output from a Boolean to a dual-rail signal, inserts NULLs into the data stream in the appropriate order - as the analog circuitry is not able to generate such a signal on its own, and it also adds a delay during transitions from the NULL state to the DATA state. Delay is needed to allow the DAC time to settle to an accurate value and to allow the comparator time to produce the correct output. Delay ensures voltage differences between the outputs of the DAC and sampled analog signal = 1/2 LSB will resolve. Therefore, the amount of delay inserted into the system corresponds to the resolution of the ADC. The greater the resolution, the greater the delay required. However, delay is small, and is on the order of a few nanoseconds for 0.18µm CMOS technology. No delay is required on transitions from DATA to NULL, as the DAC maintains its previous value during a NULL cycle. This is the only delay insertion necessary and maintains glitch-free operation.

Since the digital circuitry was realized using NCL threshold gates, modifications were required to the analog portion in order to properly handle NULL values. The DAC is a conventional resistor ladder, however, data registers are placed on the inputs to the DAC in order maintain the current data while the NULL transpires. The previous data set is maintained on the DAC during a NULL state. The comparator is a standard Boolean design. The sample and hold circuit is also standard, yet it uses *rail1* of the EOC bit to either sample or to hold. The control signal is used to interface the ADC to either asynchronous or synchronous digital inputs.

#### 4. Simulation results

The masked asynchronous successive approximation ADC described above has been implemented in Cadence modeling software using 0.18 $\mu$ m CMOS technology. The converter has a resolution of 4-bits. The converter evaluates analog signals ranging from 0 to 1V peak-to-peak (pp). The  $2^4 = 16$  unique states are contained in the 4-bits of resolution, thus providing step sizes of  $1V/16 = 62.5$  mV between quantization levels.

The digital circuitry of the masked asynchronous SA ADC contains 141 threshold gates, resulting in a transistor count of 1764. The number of transistors used to realize the analog architecture is 184. Therefore, the total amount of transistors in the ADC is 1930.

A simulation conversion cycle is shown in Figure 8. The analog signal to be converted is *V<sub>analog</sub>*, a 9MHz sine wave ranging from 01V pp. After an initial reset stage of 5ns, *mask4.rail1* (EOC signal) deasserts, indicating to the sample and hold device to operate in hold mode. This is verified by *V<sub>sample</sub>*, capturing a *V<sub>analog</sub>* value of 674.603mV, and retaining the signal throughout the conversion process.

The ADC is expected to convert the 674.603mV analog value into a NCL dual-rail digital word analogous to a Boolean output of 1010. Let bit *A* denote the MSB and bit *D* represent the LSB. Accordingly, when the first conversion is complete, *A* is anticipated to be in a DATA1 state, implying the de-assertion of *A.rail0* and the assertion of *A.rail1*. Furthermore, bit *B* is expected to be set to DATA0, bit *C* to DATA1, and finally bit *D* to a DATA0. Multiplying our predicted answer of 1010 (decimal 10) by the step size of 62.5mV, we obtain 625mV, the closest discrete representation of 674.603mV by means of this conversion process.

In the first conversion cycle, the converter is set to a NCL value analogous to a Boolean representation of 1000, the midpoint value, physically realized as 500mV. This can be verified as the only *rail1* asserted in the data path lies in the MSB, indicating DATA1 present on *A*. All other bits are set to DATA0. Since 674.603mV is greater than the initial approximation of 500mV, *A* retains

the DATA1 value. While the result of *A* is being determined, *B.rail1* is set by the *OR* function, and a DATA1 is present on MSB-1 before the next conversion cycle commences. Conversion cycle two determines *V<sub>sample</sub>* is less than the NCL value analogous to Boolean 1100, which converts to 750mV. Thus, MSB-1 is set to DATA0, as depicted by the assertion of *B.rail0*. Again the next bit is set to DATA1, this time bit *C*, before starting the third conversion cycle. The remainder of the timing diagram proceeds similarly until the approximation process ends around 30ns, as indicated by bit *mask4* (EOC) being set to DATA1. At this time the ADC has approximated *V<sub>analog</sub>* to a NCL value analogous to Boolean 1010, as predicted, and the data-set may be transferred to the external digital system for processing. During the iteration proceeding *mask4* being set to DATA1, the ADC resets the logic back to the midpoint value, readying itself for the subsequent approximation.

A second conversion is shown in Figure 8, between the time intervals of approximately 36-66ns. *V<sub>sample</sub>* captures and holds a value of 82.68mV. This analog value is above the largest equivalent quantization level for the device and therefore is converted to a NCL equivalent form of the Boolean value 1111.

The average conversion time is approximately 29.8ns, yielding a sampling rate of 33.56 Mega Samples Per Second (MSPS). Consequently, this ADC can accept input analog signals with frequencies up to approximately 16.5MHz as governed by the Nyquist frequency criterion  $f_{ADC} = 2f_{analog\ input}$ .

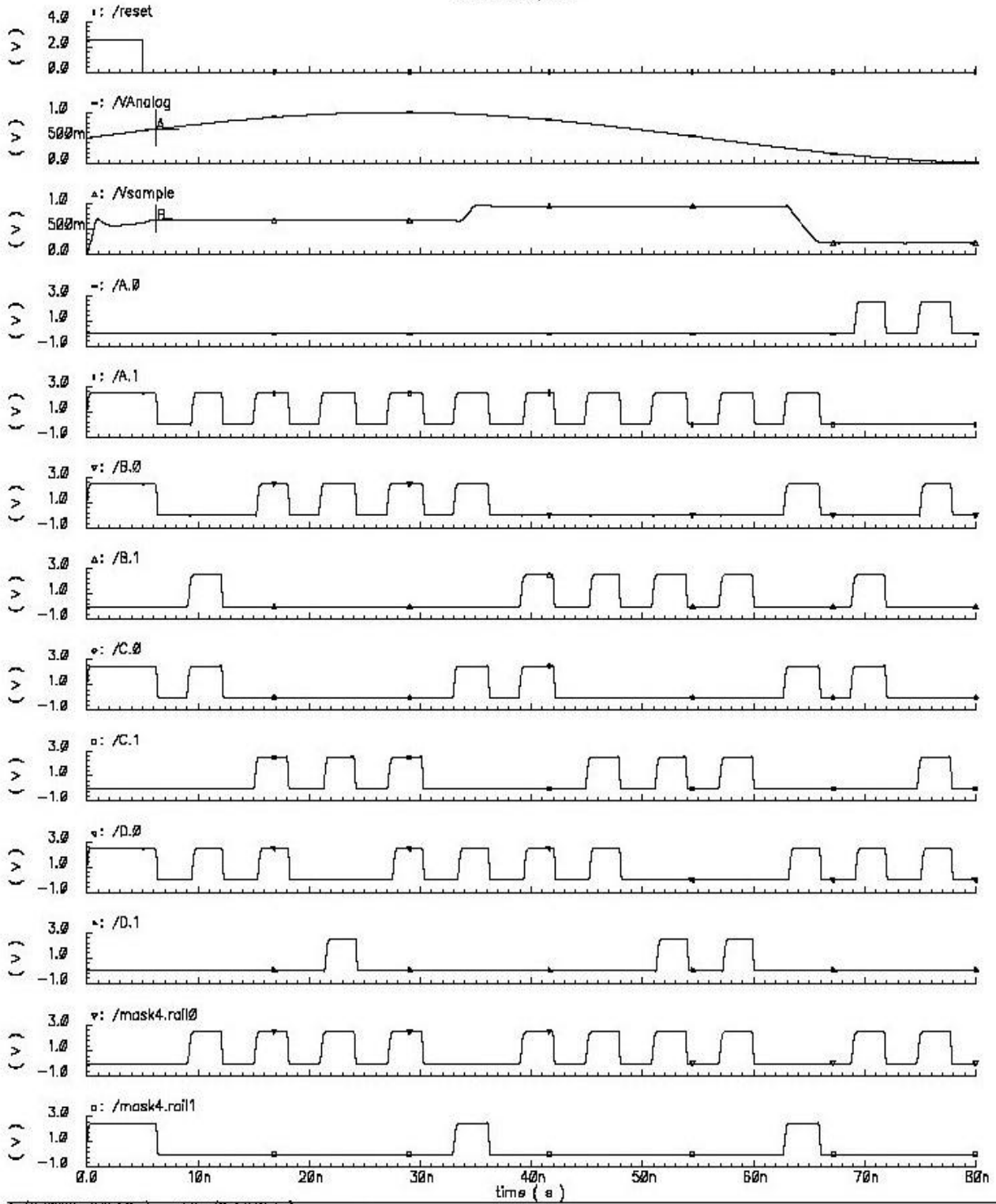
Simulations regarding current consumption were also conducted. The masked asynchronous SA ADC draws on average, approximately 1.108mA of current, using a 2.5V power source. Providing that device operation is relatively predictable, the ADC dissipates 2.770mW of power.

#### 5. Conclusions

In this paper, a novel self-timed ADC architecture is proposed based on the NULL Convention Logic (NCL) paradigm. The ADC employs an innovative masked architecture, whereby an additional set of bits is used in conjunction with other circuitry to effectively and efficiently convert the analog signal. The masked architecture scales readily to multiple bit resolutions due to identical logic for each bit. A 4bit version of the architecture is implemented using 0.18 $\mu$ m CMOS technology indicates correct functionality of the design and provides a measure of performance. Only one delay insertion is required for the NCL self-timed ADC design described herein to operate properly. Future work includes other self-timed ADC designs, as well as comparisons among said designs and clocked converters.

ADCs\_NCL 4bmasked\_SAR\_ADC\_test2 schematic

Transient Response



A: {0.39826n 0.70371n} data: {0 4.23153n}  
 B: {6.36326n 674.603n} slope: undefined

Figure 8: Simulation waveforms for a 4-bit masked asynchronous SA ADC

## Acknowledgments

The authors would like to thank Li Yang for his help in creating and troubleshooting the analog circuit models which made this effort possible.

## References

- [1] D.J. Kinniment, A.V. Yakovlev, and B. Gao, "Synchronous and Asynchronous A-D Conversion", *IEEE Transactions on Very Large Integration Systems*, Vol. 8, No. 2, pp. 217-220, April 2000.
- [2] D.J. Kinniment, B. Gao, A.V. Yakovlev, and F. Xia, "Towards asynchronous A-D conversion", *Fourth International Symposium on Advanced Research in Asynchronous Circuits and Systems*, pp. 206 -215, 1998.
- [3] D.J. Kinniment, A.V. Yakovlev, "Low power, low noise, micropipelined flash A-D converter", *Circuit Devices and Systems*, Vol. 146, No. 5, pp. 263-267, October 1999.
- [4] M. Conti, S. Orcioni, C. Turchetti, and G. Biagetti, "A Current Mode Multistable Memory using Asynchronous Successive Approximation A/D Converters", *International Conference on Electronics, Circuits and Systems*, IEEE, 1999, pp. 513-516.
- [5] K. M. Fant and S. A. Brandt, "NULL Convention Logic: A Complete and Consistent Logic for Asynchronous Digital Circuit Synthesis", *International Conference on Application Specific Systems, Architectures, and Processors*, pp. 261-273, 1996.
- [6] S.C. Smith, "Gate and Throughput Optimizations for NULL Convention Self-Timed Digital Circuits", *Ph.D. Dissertation*, School of Electrical Engineering and Computer Science, University of Central Florida, 2001.

## FURTHER READING

Click any one of the following links to be taken to a website which contains the following documents.

The following are some recent examples of Asynchronous ADC activity off the web.

[6 bit Asynchronous December 2006](#)  
[Asynchronous ADC In CAD Mentor Graphics](#)  
[Asynchronous Data Processing System](#)  
[ASYNCHRONOUS PARALLEL RESISTORLESS ADC](#)  
[Flash Asynchronous Analog-to-Digital Converter](#)  
[Novel Asynchronous ADC Architecture](#)  
[LEVEL BASED SAMPLING FOR ENERGY CONSERVATION IN LARGE NETWORKS](#)  
[A Level-Crossing Flash Asynchronous Analog-to-Digital Converter](#)  
[Weight functions for signal reconstruction based on level crossings](#)  
[Adaptive Rate Filtering Technique Based on the Level Crossing Sampling](#)  
[Adaptive Level-Crossing Sampling Based DSP Systems](#)  
[A 0.8 V Asynchronous ADC for Energy Constrained Sensing Applications](#)  
[Spline-based signal reconstruction algorithm from multiple level crossing samples](#)  
[A New Class of Asynchronous Analog-to-Digital Converters](#)  
[Effects of time quantization and noise in level crossing sampling stabilization](#)

Here is some more background information on Analog to Digital converters.

[A 1-GS/s 6-bit 6.7-mW ADC](#)  
[A Study of Folding and Interpolating ADC](#)  
[Folding ADCs Tutorials](#)  
[high speed ADC design](#)  
[Investigation of a Parallel Resistorless ADC](#)

Here are some patents on the subject.

[4,291,299 Analog to digital converter using timed](#)  
[4,352,999 Zero crossing comparators with threshold](#)  
[4,544,914 Asynchronously controllable successive approximation](#)  
[4,558,348 Digital video signal processing system using](#)  
[5,001,364 Threshold crossing detector](#)  
[5,315,284 Asynchronous digital threshold detector](#)  
[5,945,934 Tracking analog to digital converter](#)  
[6,020,840 Method and apparatus for representing waveform](#)  
[6,492,929 Analogue to digital converter and method](#)  
[6,501,412 Analog to digital converter including a quantizers](#)  
[6,667,707 Analog to digital converter with asynchronous ability](#)  
[6,720,901 Interpolation circuit having a conversio2](#)  
[6,850,180 SelfTimed ADC](#)  
[6,965,338 Cascade A D converter](#)  
[7,133,791 Two mean level crossing time interval](#)

11.19.10 1.20PM  
dsauersanjose@aol.com  
Don Sauer